



2815 IFW

**North America  
Intellectual Property corporation**

**P.O. BOX 506, Merrifield, VA 22116, U.S.A.**

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**FAX TO : Deposit Account Branch**

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**Fax: (703) 308-6778**

**FROM : Winston Hsu, PATENT AGENT, REG NO. : 41,526**

**SERIAL NO. : 10/063,822**

**SUBJECT: REQUEST FOR A DEDUCTION**

**TOTAL PAGES : 7 PAGES (INCLUDING COVER PAGE)**

**Winston Hsu** **FEB 16 2005**

**RECEIVED**  
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**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Shu-Wen Sung et al.

5 Examiner: NGUYEN, JOSEPH H

Filing Date: 05/16/2002

Art Unit: 2815

Serial No.: 10/063,822

Docket No.: KYCP0005USA

10 Title: LIGHT EMITTING DIODE HAVING AN INSULATING  
SUBSTRATE

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

15

Subject: Request for Deduction

Dear sir,

20 The undersigned agent filed a Response to the Office Action mailed on  
10/21/2004 of the above-identified application on 1/21/2005 and authorize the USPTO  
to deduct 1 excess claim fee \$200.00 (Attachment 1). But the USPTO deducted only  
\$100.00 on 2/8/2005 (Attachment 2).

25 Because the status as a small entity had been removed on 10/7/2004 (Attachment  
3). Please deduct the balance \$100.00 from the deposit account no. 50-3105 as soon as  
possible. Thanks.

Sincerely yours,

*Winston Hsu*

Date: FEB 16 2005

- 5 Winston Hsu, Patent Agent No. 41,526  
P.O. BOX 506, Merrifield, VA 22116, U.S.A.  
Voice mail: 302-729-1562  
Facsimile: 806-498-6673  
e-mail: [winstonhsu@naipo.com](mailto:winstonhsu@naipo.com)
- 10 (Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan).

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) 200.00**Complete if Known**

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/063,822       |
| Filing Date          | 05/16/2002       |
| First Named Inventor | Shu-Wen Sung     |
| Examiner Name        | NGUYEN, JOSEPH H |
| Art Unit             | 2815             |
| Attorney Docket No.  | KYCP0005USA      |

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims   | 360      | 180                   |

**Total Claims** 20 or HP = 20 **Extra Claims** 0 **Fee (\$)** 0 **Fee Paid (\$)** 0

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** 6 or HP = 6 **Extra Claims** 1 **Fee (\$)** 200 **Fee Paid (\$)** 200

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** 100 **Extra Sheets** 0 **Number of each additional 50 or fraction thereof** 0 **Fee (\$)** 0 **Fee Paid (\$)** 0

100 - 100 = 0 / 50 = 0 (round up to a whole number) x 0 = 0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

**SUBMITTED BY**

|                   |                    |                                   |           |           |              |
|-------------------|--------------------|-----------------------------------|-----------|-----------|--------------|
| Signature         | <u>Winston Hsu</u> | Registration No. (Attorney/Agent) | 41,526    | Telephone | 302-729-1562 |
| Name (Print/Type) | Winston Hsu        | Date                              | 1/21/2005 |           |              |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Trademark Office**

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**Deposit Account Statement**

**Requested Statement Month:** February 2005  
**Deposit Account Number:** 503105  
**Name:** NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION  
**Attention:** WINSTON HSU  
**Address:** 5F NO.389 FU-HO RD  
**City:** TAIPEI HSIEN  
**State:**  
**Zip:**

| DATE  | SEQ | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT          | BAL         |
|-------|-----|--------------------|---------------------------|-------------|--------------|-------------|
| 02/01 | 2   | 10064052           | NAUP0474USA               | 1464        | -\$130.00    | \$16,499.00 |
| 02/01 | 73  | 10906034           | WISP0057USA               | 1011        | \$300.00     | \$16,199.00 |
| 02/01 | 74  | 10906034           | WISP0057USA               | 1202        | \$150.00     | \$16,049.00 |
| 02/01 | 75  | 10906034           | WISP0057USA               | 8021        | \$40.00      | \$16,009.00 |
| 02/01 | 76  | 10906034           | WISP0057USA               | 1311        | \$200.00     | \$15,809.00 |
| 02/01 | 77  | 10906034           | WISP0057USA               | 1111        | \$500.00     | \$15,309.00 |
| 02/01 | 118 | 10906045           | UECP0001USA4              | 1011        | \$300.00     | \$15,009.00 |
| 02/01 | 119 | 10906045           | UECP0001USA4              | 1311        | \$200.00     | \$14,809.00 |
| 02/01 | 120 | 10906045           | UECP0001USA4              | 1111        | \$500.00     | \$14,309.00 |
| 02/01 | 137 | 60593378           | NAUP0682USA               | 1052        | \$50.00      | \$14,259.00 |
| 02/01 | 358 | 60521163           | IFTP0007USA               | 8007        | \$20.00      | \$14,239.00 |
| 02/01 | 360 | 10904619           | ACMP0179USA               | 8007        | \$40.00      | \$14,199.00 |
| 02/01 | 361 | 10904573           | MTKP0212USA               | 8007        | \$40.00      | \$14,159.00 |
| 02/01 | 362 | 10905336           | MTKP0209USA               | 8007        | \$40.00      | \$14,119.00 |
| 02/02 | 42  | 6269721            | PMXP0061USA               | 1551        | \$900.00     | \$13,219.00 |
| 02/02 | 67  | 10906069           | MTKP0052USA4              | 1011        | \$300.00     | \$12,919.00 |
| 02/02 | 68  | 10906069           | MTKP0052USA4              | 8021        | \$40.00      | \$12,879.00 |
| 02/02 | 69  | 10906069           | MTKP0052USA4              | 1311        | \$200.00     | \$12,679.00 |
| 02/02 | 70  | 10906069           | MTKP0052USA4              | 1111        | \$500.00     | \$12,179.00 |
| 02/02 | 73  | 10906070           | ACMP0162USA               | 1011        | \$300.00     | \$11,879.00 |
| 02/02 | 74  | 10906070           | ACMP0162USA               | 1202        | \$450.00     | \$11,429.00 |
| 02/02 | 75  | 10906070           | ACMP0162USA               | 1201        | \$200.00     | \$11,229.00 |
| 02/02 | 76  | 10906070           | ACMP0162USA               | 8021        | \$40.00      | \$11,189.00 |
| 02/02 | 77  | 10906070           | ACMP0162USA               | 1311        | \$200.00     | \$10,989.00 |
| 02/02 | 78  | 10906070           | ACMP0162USA               | 1111        | \$500.00     | \$10,489.00 |
| 02/02 | 85  | 10906073           | ULIP0001USA               | 4011        | \$75.00      | \$10,414.00 |
| 02/02 | 86  | 10906073           | ULIP0001USA               | 8021        | \$40.00      | \$10,374.00 |
| 02/02 | 87  | 10906073           | ULIP0001USA               | 2311        | \$100.00     | \$10,274.00 |
| 02/02 | 88  | 10906073           | ULIP0001USA               | 2111        | \$250.00     | \$10,024.00 |
| 02/03 | 5   | PAYMENT            |                           | 9203        | -\$19,874.00 | \$29,898.00 |
| 02/03 | 41  | 10906089           | REAP0057USA               | 1011        | \$300.00     | \$29,598.00 |
| 02/03 | 42  | 10906089           | REAP0057USA               | 8021        | \$40.00      | \$29,558.00 |
| 02/03 | 43  | 10906089           | REAP0057USA               | 1311        | \$200.00     | \$29,358.00 |
| 02/03 | 43  | 10605721           | AITP0001USA               | 2501        | \$700.00     | \$28,658.00 |

|           |          |             |      |          |             |
|-----------|----------|-------------|------|----------|-------------|
| 02/04 99  | 10906134 | VIAP0110USA | 1011 | \$300.00 | \$32,263.00 |
| 02/04 100 | 10906134 | VIAP0110USA | 8021 | \$40.00  | \$32,223.00 |
| 02/04 101 | 10906134 | VIAP0110USA | 1311 | \$200.00 | \$32,023.00 |
| 02/04 102 | 10906134 | VIAP0110USA | 1111 | \$500.00 | \$31,523.00 |
| 02/04 113 | 10906137 | MTKP0198USA | 1011 | \$300.00 | \$31,223.00 |
| 02/04 114 | 10906137 | MTKP0198USA | 8021 | \$40.00  | \$31,183.00 |
| 02/04 115 | 10906137 | MTKP0198USA | 1311 | \$200.00 | \$30,983.00 |
| 02/04 116 | 10906137 | MTKP0198USA | 1111 | \$500.00 | \$30,483.00 |
| 02/04 128 | 10248770 | TOPP0010USA | 8021 | \$40.00  | \$30,443.00 |
| 02/04 129 | 10249755 | TOPP0020USA | 8021 | \$40.00  | \$30,403.00 |
| 02/04 373 | 10905133 | ACMP0212USA | 8007 | \$40.00  | \$30,363.00 |
| 02/04 385 | 10905181 | ACMP0213USA | 8007 | \$40.00  | \$30,323.00 |
| 02/08 3   | 10063822 | KYCP0005USA | 2201 | \$100.00 | \$30,223.00 |

|             |             |             |             |
|-------------|-------------|-------------|-------------|
| START       | SUM OF      | SUM OF      | END         |
| BALANCE     | CHARGES     | REPLENISH   | BALANCE     |
| \$16,369.00 | \$26,405.00 | \$40,259.00 | \$30,223.00 |

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Paper 15



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OFFICE OF PETITIONS

ON PETITION

In re Application of  
Shu-Wen Sung et al.  
Application No. 10/063,822  
Filed: May 16, 2002  
Attorney Docket No. KYCP0005USA

This is in response to the paper filed September 8, 2004 under 37 CFR 1.27(g)(2) requesting that status as a Small Entity be removed.

In accordance with the September 8, 2004 request, status as a Small Entity has been removed.

Telephone inquiries concerning this matter may be directed to the undersigned  
Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball  
Senior Petitions Attorney  
Office of Petitions